

TRANSMITTAL LETTER			Case No. 8864/7
Serial No. 09/470,434	Filing Date December 22, 1999	Examiner T. Lam	Group Art Unit 2834
Inventor(s) Griffith D. Neal			
Title of Invention High Speed Spindle Motor			

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Sixth Supplemental Information Disclosure Statement, PTO-1449, copies of references G1 to G17 and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9 =	
x 39 =	
+ \$130 =	
Total add'l fee	\$

Other Than Small Entity

Rate	Add'l Fee
x \$18 =	
x \$78 =	
+ \$260 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

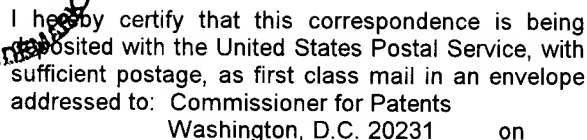
Steven P. Shurtz  
Steven P. Shurtz  
Registration No. 31,424  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 26, 2001.

Date: 7/26/01

Signature: Steven P. Shurtz



Date of Deposit

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

Our Case No. 8864/7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Griffith D. Neal

Serial No. 09/470,434

Filing Date: December 22, 1999

For: HIGH SPEED SPINDLE MOTOR

Examiner: T. Lam

Group Art Unit: 2834

## SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Sixth Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed.

#116  
Supply  
SDavis  
8/7/01  
RECEIVED  
AUG - 2 2001  
FC 2800 MAIL ROOM



## U. S. PATENT DOCUMENTS

RECEIVED  
AUG-2 2001  
TC 2800 MAIL ROOM

DOCUMENT NUMBER	DATE	NAME
4,855,853	08/08/1989	Matsushita et al.
5,331,483	07/19/1994	Müller et al.
5,664,314	09/09/1997	Pelstring et al.
5,675,201	10/07/1997	Komura et al.
5,793,129	08/11/1998	Parsoneault et al.
5,822,846	10/20/1998	Moritan et al.
5,831,355	11/03/1998	Oku
6,102,636	08/15/2000	Geise
6,148,501	11/21/2000	Grantz et al.
6,163,952	12/26/2000	Takehara
US 6,215,219 B1	04/10/2001	Hwang

## FOREIGN PATENT DOCUMENTS

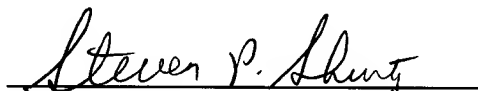
DOCUMENT NUMBER	DATE	COUNTRY
DE 42 21 429 A 1	01/07/1993	Germany
0 818 6953	07/16/1996	Japan
0 312 8645	05/31/1991	Japan
0 129 1652	11/24/1989	Japan
0 119 0256	07/31/1989	Japan
JP 352079207A	07/04/1977	Japan

Most of the references are in English. For the one German patent reference, a copy of the U.S. Patent Application No. 5,331,483, which is enclosed, corresponds to the German patent application. The abstracts provided for the Japanese patents provide an explanation of the relevance of those references to the best understanding of the Applicant and his Attorney. The claims in this case are the same as some of the claims in a PCT case. A copy of the PCT search report for that case, mailed June 22, 2001, is enclosed. Also enclosed is a copy of a PCT search report, mailed May 9, 2001, on a related invention. In accordance with 37 C.F.R. § 1.97(e)(2), Applicant hereby certifies that the references contained in this Sixth Supplemental Information Disclosure Statement that are also on the search reports were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Sixth Supplemental Information Disclosure Statement. The other references cited in the search reports are already of record.

The remaining references were recently cited in co-pending U.S. applications of the Applicant on related inventions. In accordance with 37 C.F.R. § 1.97(e)(2), Applicant hereby certifies that none of the remaining references contained in this Sixth Supplemental Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of Applicant's attorney after making reasonable inquiry, none of the remaining references contained in the Sixth Supplemental Information Disclosure Statement were known to any individual designated in § 1.56(c) more than three months prior to the filing of the Sixth Supplemental Information Disclosure Statement. No fees are believed to be due in connection with this Sixth Supplemental Information Disclosure Statement. However, should any fees under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, reading "Steven P. Shurtz", is written over a horizontal line.

Steven P. Shurtz  
Registration No. 31,424  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

Dated: July 26, 2001